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(Modified) PTO/SB/21 (6-98)  
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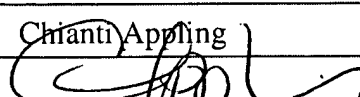
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing) MAR 26 2002 PATENT & TRADEMARK OFFICE	Application Number	09/994,227
	Filing Date	November 26, 2001
	First Named Inventor	Alan M. Fogelman
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	407T-899210US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): receipt acknowledgment postcard
<b>Authorization to Charge Deposit Account</b> Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.		
Remarks	Sequence Listing with Diskette Executed Declaration Copy of Notice to File Missing Parts	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Tom Hunter, Reg. No. 38,498, Quine Intellectual Property Law Group P.C.
Signature	
Date	20 March 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>March 21, 2002</u>	
Typed or printed name	Chianti Appling
Signature	
Date	3/21/02

101 P E J C 66  
MAR 26 2002  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents,  
Attn: Box Missing Parts,  
Washington, D.C. 20231, on March 21, 2002

QUINE INTELLECTUAL PROPERTY LAW GROUP P.C.

By [Signature]  
Chianti Apple

Attorney Docket No. 407T-899210US  
Client Ref: 2000-283-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Alan M. Fogelman et al.

Application No.: 09/994,227

Filed: November 26, 2001

For: **SYNTHETIC PHOSPHOLIPIDS TO  
AMELIORATE ATHEROSCLEROSIS AND  
OTHER INFLAMMATORY CONDITIONS**

Examiner: Unassigned

Art Unit: Unassigned

TRANSMITTAL LETTER - RESPONSE  
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date  
Granted" dated *January 25, 2002*, enclosed are the following to be made of record in the above-  
identified application:

- 1) Executed Declaration
- 2) ~~Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)~~  
(+ copy of Assignment - not for recordation-note: The Assignment for  
recordation is being sent concurrently under separate cover to Box  
Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-  
1619A)
- 3) Copy of Notice of Missing Parts
- 4) Fee transmittal sheet
- 5) Preliminary Amendment

- 6) Paper and Computer Readable Copies of Sequence Listing
- 7) Transmittal Sheet
- 8) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Large entity: (a) Filing Fee (§ 1.16(a)) (Large Entity) \$

(b) Excess Claims Fees (§ 1.16(b), (c)):

\_\_\_\_ - 20 = \_\_\_\_ x 18 = \$ \_\_\_\_

\_\_\_\_ - 3 = \_\_\_\_ x 84 = \$ \_\_\_\_

(c) Missing Parts Surcharge \$ 65.00

**TOTAL FEES TO BE CHARGED** \$ 65.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893.

Respectfully submitted,



Tom Hunter, J.D., Ph.D.  
Reg. No. 38,498

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,227	11/26/2001	Alan M. Fogelman	407T-899210US

CONFIRMATION NO. 9958

 22798  
 LAW OFFICES OF JONATHAN ALAN QUINE  
 P O BOX 458  
 ALAMEDA, CA 94501

## FORMALITIES LETTER



\*OC000000007359255\*

Date Mailed: 01/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/28/2002 NROCHA1 00000031 500893 09994227

FILED UNDER 37 CFR 1.53(b)

01 FC:205 65.00 CH

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

*HAB*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE